



Governance & Compliance



Sentient Portfolio Program Management

What does it do?

Effective **Governance and Compliance** is critical to managing enterprise risk, and ensures that the appropriate care and diligence is applied to the different activities undertaken by the organization. In doing so, a business is able to become more effective at delivering to strategic and operational objectives through improved consistency and adherence to policies and processes.

Sentient PPM will ensure a minimum level of governance and compliance is adhered to across your organization.



How will it help my organization?

Sentient PPM **Governance and Compliance** provides you with confidence that all projects and programs of work across your business are subjected to the required governance and compliance activities. Simple approval workflows and email acceptance makes it easy for your staff to complete the various compliance tasks.

Be sure that all the right boxes have been checked before your program and projects reach key process gates. Sentient PPM will help you to streamline existing paper based processes that are ineffective, and costing your organization time and money.

Organizational Challenges

- ❖ Poor process governance and gating
- ❖ A lack of accountability
- ❖ Delays caused by untimely approvals
- ❖ Problems with auditing and compliance

Key benefits:

Centralized information – Manage all project compliance and approval information in the one place, so you have everything you need at your fingertips.

Improve results – Bring better business outcomes by ensuring your programs of work and projects move through a consistent governance and compliance framework.

Get the real picture – Full audit histories of projects are recorded as they move through the processes leading to greater accountability of stakeholders and approvers.

Ensure due process – Secure your business by bringing discipline and ensuring a minimum level of governance and compliance is adhered to.

Enforced gating – Implement rules to ensure checklists and approvals are completed prior to proceeding through different process gates.

Approval workflows – Email functionality notifies key people when approvals are assigned or completed, greatly reducing the average time it takes to get the required approvals.

Management of risk – effectively manage enterprise risk by ensuring the right things happen at the right time.



How does it work?

Sentient PPM **Governance and Compliance** is configured to fit the specific governance processes of your organization. The framework is designed to support your existing delivery life cycles, and enable either centralized or distributed governance across your entire enterprise.

1. Define your processes – Map out your assessment and delivery processes, including alternative routes for fast-track projects.

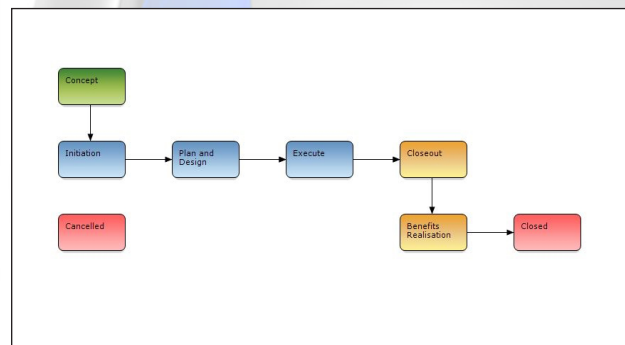
2. Map your checklist – Create compliance checklists for your different types of projects. As your processes and requirements change over time, simply adapt and add to your compliance model.

3. Define approval templates – Build templates for the different types of approvals required for the different types of projects, including the levels of delegated authority that will need to provide their endorsement. These approvals are mapped to the different process phases, and must be completed prior to progressing through the process.

4. Gating – Projects only reach formal gating when the relevant checklists have been completed and required approvals received. This results in streamlined, efficient gating procedures, allowing key stakeholders and steering groups to make better, more informed decisions.

5. Monitor exceptions – Full event tracking means you can access a full history of the project, including who has made the key decisions and their reasoning. You will always have the hard facts at your fingertips.

6. Evolve and mature – As your compliance and governance processes develop, you can progressively increase the level of control. Resulting in a more effective and mature way of managing your organization.



Enable your assessment and delivery process(es) within Sentient PPM

Checklist Action	Status	Due Date	Date Completed	Notes
Project Charter	Complete	08/Aug/2012	15/Aug/2012	Attached to the files tab.
Project Status Report (Steering Committee)	In Progress	17/Aug/2012		
Change Control Document	In Progress	17/Aug/2012		

Compliance checklists configured to meet your business

Concept	DFA Level	Ind for Endorsement	Approver Type	Approver	Status
Project Registration	✓	✓	DFA Approver	Ernie Exec	Approved
Sarbanes Oxley Review	✓	✓	SOX Compliance Auditor	Ernie Exec	Approved
Initiation	DFA Level	Ind for Endorsement	Approver Type	Approver	Status
Financial Approval	✓	✓	DFA Approver	Ernie Exec	Approved
Legal Approval	✓	✓	Legal Approver	Ernie Exec	Approved
Marketing Approval	✓	✓	Marketing Approver	Ernie Exec	Approved
User Approval	✓	✓	Specialist	Diane Design	Pending Approval
Plan and Design	DFA Level	Ind for Endorsement	Approver Type	Approver	Status
Financial	✓	✓	Financial Approver	Eric Approver	Unreleased
Marketing	✓	✓	Marketing Approver	Ernie Exec	Unreleased
Execute	DFA Level	Ind for Endorsement	Approver Type	Approver	Status
Financial	✓	✓	Financial Approver	Eric Approver	Unreleased

Comprehensive approvals framework with workflow

Sentient is the leading private cloud Enterprise Portfolio Program Management (EPPM) service provider in Australasia and is proud to provide solutions to some of its leading companies.

As a cloud solution Sentient PPM is available anywhere, anytime to anyone with access to the internet. It's fast to deploy, easy to use and requires no infrastructure.

For an EPPM solution that really delivers outcomes – Sentient Portfolio Program Management

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